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SEAN MOORE, DEMOCRATIC STAFF DIRECTOR  
MEREDITH WEST, REPUBLICAN STAFF DIRECTOR

United States Senate

COMMITTEE ON SMALL BUSINESS & ENTREPRENEURSHIP  
WASHINGTON, DC 20510-6350

TELEPHONE: (202) 224-5175 FAX: (202) 224-5619

November 5, 2024

The Honorable Michael S. Regan  
Administrator  
U.S. Environmental Protection Agency  
400 Maryland Ave, S.W.  
Washington, D.C. 20202

Dear Administrator Regan,

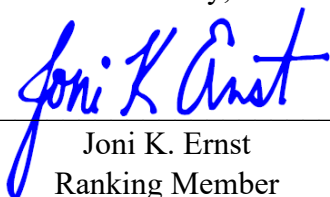
As Ranking Member of the U.S. Senate Committee on Small Business and Entrepreneurship, I write to request results from the U.S. Environmental Protection Agency's Small Business Innovation Research and Small Business Technology Transfer (SBIR-STTR) due diligence process during fiscal years 2023 and 2024. The *SBIR and STTR Extension Act of 2022* requires each agency to establish a due diligence program to assess the cybersecurity practices, patent analysis, employee analysis, and foreign ownership of a small business seeking an award, including the financial ties and obligations of the small business and its employees to a foreign country, person, or entity. I've been grateful for your agency's participation in eleven monthly briefings, as required by law, to the U.S. House of Representatives Committee on Small Business and Committee on Science, Space, and Technology as well as the U.S. Senate Committee on Small Business and Entrepreneurship, which has allowed me to track your agency's progress on this matter.

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Sincerely,



Joni K. Ernst  
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November 5, 2024

The Honorable Dr. Miguel Cardona  
Secretary  
U.S. Department of Education  
400 Maryland Ave, S.W.  
Washington, D.C. 20202

Dear Secretary Cardona,


As the Ranking Member of the U.S. Senate Committee on Small Business and Entrepreneurship, I write to request results from the U.S. Department of Education's Small Business Innovation Research and Small Business Technology Transfer (SBIR-STTR) due diligence process during fiscal years 2023 and 2024. The *SBIR and STTR Extension Act of 2022* requires each agency to establish a due diligence program to assess the cybersecurity practices, patent analysis, employee analysis, and foreign ownership of a small business seeking an award, including the financial ties and obligations of the small business and its employees to a foreign country, person, or entity. I've been grateful for your agency's participation in eleven monthly briefings, as required by law, to the U.S. House of Representatives Committee on Small Business and Committee on Science, Space, and Technology as well as the U.S. Senate Committee on Small Business and Entrepreneurship, which has allowed me to track your agency's progress on this matter.

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November 5, 2024

The Honorable Pete Buttigieg  
Secretary  
U.S. Department of Transportation  
1200 New Jersey Ave, S.E.  
Washington, D.C. 20590

Dear Secretary Buttigieg,

As the Ranking Member of the U.S. Senate Committee on Small Business and Entrepreneurship, I write to request results from the U.S. Department of Transportation's Small Business Innovation Research and Small Business Technology Transfer (SBIR-STTR) due diligence process during fiscal years 2023 and 2024. The *SBIR and STTR Extension Act of 2022* requires each agency to establish a due diligence program to assess the cybersecurity practices, patent analysis, employee analysis, and foreign ownership of a small business seeking an award, including the financial ties and obligations of the small business and its employees to a foreign country, person, or entity. I've been grateful for your agency's participation in eleven monthly briefings, as required by law, to the U.S. House of Representatives Committee on Small Business and Committee on Science, Space, and Technology as well as the U.S. Senate Committee on Small Business and Entrepreneurship, which has allowed me to track your agency's progress on this matter.

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November 5, 2024

The Honorable Lloyd J. Austin III  
Secretary  
U.S. Department of Defense  
1000 Defense Pentagon  
Washington, D.C. 20301

Dear Secretary Austin,

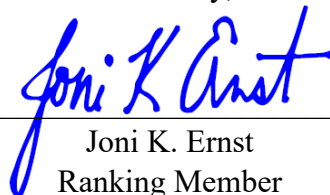
As the Ranking Member of the U.S. Senate Committee on Small Business and Entrepreneurship, I write to request results from the U.S. Department of Defense's Small Business Innovation Research and Small Business Technology Transfer (SBIR-STTR) due diligence process during fiscal years 2023 and 2024. The *SBIR and STTR Extension Act of 2022* requires each agency to establish a due diligence program to assess the cybersecurity practices, patent analysis, employee analysis, and foreign ownership of a small business seeking an award, including the financial ties and obligations of the small business and its employees to a foreign country, person, or entity. I've been grateful for your agency's participation in eleven monthly briefings, as required by law, to the U.S. House of Representatives Committee on Small Business and Committee on Science, Space, and Technology as well as the U.S. Senate Committee on Small Business and Entrepreneurship, which has allowed me to track your agency's progress on this matter.

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November 5, 2024

The Honorable Alejandro Mayorkas  
Secretary  
U.S. Department of Homeland Security  
2707 Martin Luther King Jr. Ave., S.E.  
Washington, D.C. 20528

Dear Secretary Mayorkas,


As the Ranking Member of the U.S. Senate Committee on Small Business and Entrepreneurship, I write to request results from the U.S. Department of Homeland Security's Small Business Innovation Research and Small Business Technology Transfer (SBIR-STTR) due diligence process during fiscal years 2023 and 2024. The *SBIR and STTR Extension Act of 2022* requires each agency to establish a due diligence program to assess the cybersecurity practices, patent analysis, employee analysis, and foreign ownership of a small business seeking an award, including the financial ties and obligations of the small business and its employees to a foreign country, person, or entity. I've been grateful for your agency's participation in eleven monthly briefings, as required by law, to the U.S. House of Representatives Committee on Small Business and Committee on Science, Space, and Technology as well as the U.S. Senate Committee on Small Business and Entrepreneurship, which has allowed me to track your agency's progress on this matter.

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November 5, 2024

The Honorable Jennifer M. Granholm  
Secretary  
U.S. Department of Energy  
1000 Independence Avenue, S.W.  
Washington, D.C. 20585

Dear Secretary Granholm,

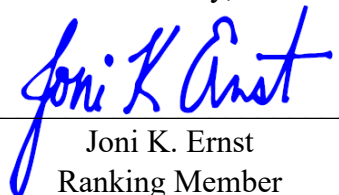
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November 5, 2024

The Honorable Thomas J. Vilsack  
Secretary  
U.S. Department of Agriculture  
1400 Independence Ave., S.W.  
Washington, D.C. 20250

Dear Secretary Vilsack,

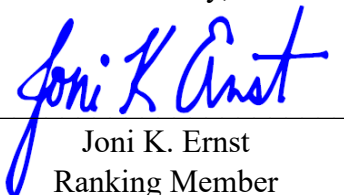
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November 5, 2024

The Honorable Sethuraman Panchanathan  
Director  
National Science Foundation  
2415 Eisenhower Avenue  
Alexandria, Virginia 22314

Dear Director Panchanathan,

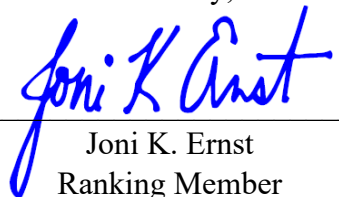
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November 5, 2024

The Honorable Richard W. Spinrad, Ph.D.  
Administrator  
U.S. National Oceanic and Atmospheric Administration  
1401 Constitution Avenue N.W., Room 5128  
Washington, D.C. 20230

Dear Administrator Spinrad,

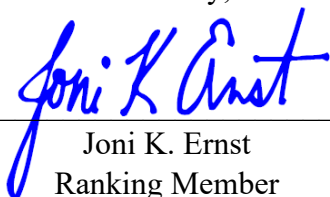
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2. How many of these applications with a concerning flag were awarded, denied, or awarded with mitigation. Please delineate what types of mitigation measures were implemented, if any, and the types of adversarial ties that led to an SBIR or STTR applicant being denied.

I must ensure that your agency is meeting statutory requirements to protect American investment in research and development. By implementing proper due diligence measures, I remain optimistic that we can prevent adversaries from stealing American innovations paid for with taxpayer dollars.

Sincerely,



Joni K. Ernst  
Ranking Member

BENJAMIN L. CARDIN, MARYLAND, CHAIRMAN  
JONI ERNST, IOWA, RANKING MEMBER

MARIA CANTWELL, WASHINGTON  
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TED BUDD, NORTH CAROLINA

SEAN MOORE, DEMOCRATIC STAFF DIRECTOR  
MEREDITH WEST, REPUBLICAN STAFF DIRECTOR

**United States Senate**  
COMMITTEE ON SMALL BUSINESS & ENTREPRENEURSHIP  
WASHINGTON, DC 20510-6350  
TELEPHONE: (202) 224-5175 FAX: (202) 224-5619

November 5, 2024

The Honorable Laurie E. Locascio, Ph.D.  
Director  
U.S. National Institute of Standards and Technology  
100 Bureau Drive  
Gaithersburg, Maryland

Dear Director Locascio,

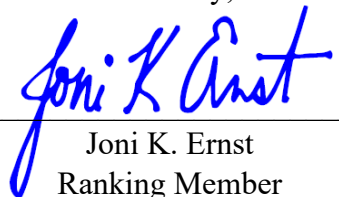
As the Ranking Member of the U.S. Senate Committee on Small Business and Entrepreneurship, I write to request results from the U.S. National Institute of Standards and Technology's Small Business Innovation Research and Small Business Technology Transfer (SBIR-STTR) due diligence process during fiscal years 2023 and 2024. The *SBIR and STTR Extension Act of 2022* requires each agency to establish a due diligence program to assess the cybersecurity practices, patent analysis, employee analysis, and foreign ownership of a small business seeking an award, including the financial ties and obligations of the small business and its employees to a foreign country, person, or entity. I've been grateful for your agency's participation in eleven monthly briefings, as required by law, to the U.S. House of Representatives Committee on Small Business and Committee on Science, Space, and Technology as well as the U.S. Senate Committee on Small Business and Entrepreneurship, which has allowed me to track your agency's progress on this matter.

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November 5, 2024

The Honorable Monica M. Bertagnolli, M.D.  
Director  
U.S. National Institutes of Health  
9000 Rockville Pike  
Bethesda, Maryland 20892

Dear Director Bertagnolli,


As the Ranking Member of the U.S. Senate Committee on Small Business and Entrepreneurship, I write to request results from the U.S. National Institutes of Health's Small Business Innovation Research and Small Business Technology Transfer (SBIR-STTR) due diligence process during fiscal years 2023 and 2024. The *SBIR and STTR Extension Act of 2022* requires each agency to establish a due diligence program to assess the cybersecurity practices, patent analysis, employee analysis, and foreign ownership of a small business seeking an award, including the financial ties and obligations of the small business and its employees to a foreign country, person, or entity. I've been grateful for your agency's participation in eleven monthly briefings, as required by law, to the U.S. House of Representatives Committee on Small Business and Committee on Science, Space, and Technology as well as the U.S. Senate Committee on Small Business and Entrepreneurship, which has allowed me to track your agency's progress on this matter.

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November 5, 2024

The Honorable Bill Nelson  
Administrator  
U.S. National Aeronautics and Space Administration  
300 E. Street S.W., Suite 5R30  
Washington, D.C. 20546

Dear Administrator Nelson,

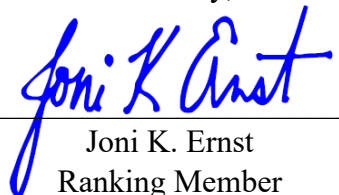
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